



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Rengarajan et al.

**Examiner:** Tran, T.

**Serial No:** 09/000,626

**Group:** Art Unit 2811

**Filed:** December 30, 1997

**Docket:** 8055-42 (SME310US)

**For:** **RECESSED SHALLOW TRENCH ISOLATION STRUCTURE  
NITRIDE LINER AND METHOD OF MAKING SAME**

**To:** Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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#34/F/146  
1/15/04  
*Adam Th*  
*Non-Compliant*

**AMENDMENT UNDER 37 C.F.R. § 1.196(B)(1)**

This is an Amendment under 37 C.F.R. § 1.196(b)(1), which is being filed in response to a Decision on Appeal, mailed on August 26, 2003, from the Examiner's final rejection of claims 1-5, 7 and 24-25. Please amend the claims as follows:

**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2003

Dated: 10/27/03

Frank DeRosa  
Frank V. DeRosa



Attorney Docket No.: 8055-42 (SME310US)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Rengarajan et al. Examiner: Tran, Thien F.  
SERIAL NO.: 09/000,626 Group Art Unit: 2811  
FILED: December 30, 1997 Dated: October 27, 2003  
FOR: RECESSED SHALLOW TRENCH ISOLATION STRUCTURE  
NITRIDE LINER AND METHOD OF MAKING SAME

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Commissioner for Patents  
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**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	8	20	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	2	3	0	x 43	\$0	x 86 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				145		290	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2003.

Dated: October 27, 2003

Frank V. DeRosa

- Please charge Deposit Account No. 50-0679 in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.
- The amount of \$\_\_\_\_\_ is authorized to be charged to a Credit Card. Form PTO-2038 is enclosed.
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

F. CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike, Suite 501  
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(516) 357-0091

  
Frank V. DeRosa  
Reg No. 43,584  
Attorney for Applicant(s)



THE U.S. PATENT AND TRADEMARK OFFICE DATE STAMP WILL ACKNOWLEDGE  
RECEIPT OF:

1. Amendment Under 37 C.F.R. §1.196(b)(1) w/cert. of mailing
2. Amendment Transmittal Letter (in triplicate) w/cert. of Mailing
3. Return Postcard

Applicants: Rengarajan et al.  
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